

ERISA Oversight and Amendments: Reference Notes

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Original Annex Box # 81

Mostly includes folders of counsel Steve Sacher. 1978. Bills, draft bills, some correspondence, and reference material. Most files appear to concern the ERISA amendments but some other Labor topics as well. Also, some Pat Markey "Health" topic folders here also (see Health & Education/Development material).

Ref# 10. Kept copies of material from various folders, including Dear Colleagues and briefing notes re: HAW introductory remarks on S.3017 (ERISA Improvements Act).

Original Annex Box # 119

Most folders relate to ERISA amendments/issues, particularly Supreme Court's Daniel decision; many of these folders link to counsel Steve Sacher. Other folders are on workers compensation matters and appear to be counsel Mike Goldberg's.

Fenwick Asbestos Bill	1977	1977	Mike Goldberg folder. Original handwritten notes from meeting; HR bills introduced by Fenwick; transmittal to Goldberg from Fenwick office; reference material.	Kept none
Workers Compensation Study Material	1978	1979	Appears to be Goldberg folder. Reference material on workers comp: paper on S.3060 (HAW bill) by a Dale Birdoff; United Steelworkers paper on subject; seminar paper by E. Lee Patton; and unattributed draft re: "program offsets."	Kept none
[Label lost]	1977	1977	Goldberg folder. Material re: Daniel case. Original note from Sacher to Mike; original correspondence from law firm (representing ERIC) to Sacher at his request with analysis re: possibility of undoing decision via legislation; related reference material.	Kept none
S. 1865-Kennedy Compensation for victims of nuclear tests...	1979	1979	Appears to be Goldberg folder. Bill print of S.1865, drafts of bill, what appears to be an incomplete or draft briefing note from Goldberg to HAW on bill.	Ref# 178. Kept duplicates of bill and briefing note
[Loose]	1977	1977	Photocopy of manual: State Workers Compensation, Issues and Options in Developing Federal Standards (appears to be an internal discussion paper developed for Secretary of Labor)	Kept none
Virginia Law Review	1978	1978	Photocopy of article re: Daniel sent to Sacher by a Paul Mason	Kept none
[Label lost]	1977	1978	Appears to be Sacher file. Original notes from CRS to Sacher re: aspects of Daniel case, with copies of reference material.	Kept none
[Label lost]	1978	1978	Photocopy of HAW/Javits note to Attorney General Griffin Bell re: Daniel.	Kept none
[Label lost]	1977	1978	Sacher folder. Relates to exchanges between HAW/Javits and SEC on Daniel. Original responses from SEC, original notes from others senators and representatives to HAW re: Daniel. Original note from DoL to Sacher.	Kept none
Press Clippings	1977	1979	Sacher folder. Clippings and articles on Daniel case; draft HAW press release with original edits.	Kept none
[Label lost]	1978	1978	Sacher folder. Original transmittal from law firm to Sacher re: NY securities law application to pensions (Daniel connection).	Kept none
[Label lost]	1977	1977	Photocopy of note from HAW to SEC re: Shenker case, with related reference material	Kept none
[Label lost]	ud	ud	Photocopy of a paper Impact of Fed Securities Law on Stock Compensation Programs	Kept none
Syllabus	1979	1979	Photocopy of printed syllabus of Daniel case	Kept none

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Original Annex Box # 126

Committee counsel folders, mostly of Steve Sacher, but also some from Gary Ford and Peter Turza. Most of the content dates from 1978-1980 and relates to proposed ERISA amendments or other pension reform matters. Includes folders from the “General ERISA Files” (see box 129 for index). Bulk includes reference material and original correspondence/position papers to Sacher from companies, consultants, attorneys, etc. Some original handwritten notes (e.g., notes on AFL-CIO position). Also, correspondence file from 1979-1980 on various Labor Subcommittee matters (blue carbons with attached originals); appears to be mostly OSHA or fair labor standards-related. Also, includes folder with staff workpapers on voting trends in recent Congress and related intra-staff summary/analysis.

Ref# 68. Kept or made copies from folder “ADP Pension Services Alternative Method of Reporting”. Part of ERISA folders.
Ref# 493. Made copies of staff notes from folder “Analysis of Votes – 96th Congress” (not pension-related).

Original Annex Box # 129

Committee counsel folders of Steve Sacher, 1977-1979. Bulk is reference material related to Supreme Court’s Daniel decision, mostly prints and photocopies of Court filings, etc. Also, folders of early outline and drafts (1978-79) of what appears to become S.209 (ERISA amendments). Folder of photocopies of government and industry responses to committee testimony follow-up questions. Box also includes an 18 page index as of June 1, 1979 of “General ERISA Files”; many, perhaps all, of the related folders can be found in box 126 and those below.

Ref# 79. Kept copies from an unlabeled folder. My name: “Exchange with SEC re: sales literature regs” in re: ERISA amends 1979.
Ref# 13-17: Accordion folder: “Responses to Post-Hearing Questions: DoL, SEC, DOT, Auerbach (ERIC), Griffes/Romig (CHAMBER), Martin (APPWP); Includes our outgoing letter”
Ref# 13. Kept copies from an unlabeled sub-folder. My name: “Exchange with DoL re: S.209 ERISA amends” 1979
Ref# 14. Kept copies from sub-folder labeled “Treasury” 1979 re: ERISA amends S.209
Ref# 15. Kept copies from sub-folder labeled “Griffes-Romig/Chamber Response” 1979 re: ERISA amends S.209
Ref# 16. Kept copies from sub-folder labeled “Martin/APPWP-Response” 1979 re: ERISA amends S.209
Ref# 17. Kept copies from sub-folder labeled “Auerbach/ERIC-Response” 1979 re: ERISA amends S.209
Ref# 73. Index of General ERISA and Daniel files

Original Annex Box # 197

Indications that these were files of committee counsels Gary Ford and Steve Sacher.

Limited Employee Retirement Account (LERA)	1979	1980	Photocopies of various HR and Senate bills related to retirement accounts (appears to be on deductibility) (HR 628, S.75, S.1209, S.1428). Photocopy of Senior VP of Connecticut General Life Insurance Co remarks to Presidential Commission on Pension Policy, with related press release from Conn General.	Kept none
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<p>PBGC Premium Increase-Single Employer Plans-1977-95: I- S.Con.Res.49</p>	<p>1977</p>	<p>1977</p>	<p>Photocopies of PBGC request to HAW for premium increase, related Senate and HR resolutions (S.49 and HR 369), and drafts of Report to accompany S.49.</p>	<p>Ref# 57. Kept extra copies.</p>
<p>GAO 7/6/77 Report on DOL ERISA Admin</p>	<p>1977</p>	<p>1977</p>	<p>One clean original copy of a report from Comptroller General to the Human Resources Committee, prepared at their request, re: Efforts to Implement the ERISA of 1974 by the DoL. Report cover stamped "Restricted. This is a report on the results of work performed pursuant to a request of the addressee [Committee] whose authorization should be obtained before further release of this report."</p>	<p>Kept none</p>
<p>Arbitrators as Fiduciaries</p>	<p>1979</p>	<p>1979</p>	<p>Steve Sacher folder. Original note from Fed Mediation & Conciliation Service (FMCS) to Sacher re: arbitrators fiduciary liability under ERISA, with attached reference documents on the subject. Copy to Sacher of FMCS note on subject to House Pension Task Force counsel on subject.</p>	<p>Kept none</p>
<p>[Folder numbers 24 and 25 re: Church Plans tax treatment]</p>	<p>1979</p>	<p>1980</p>	<p>Original annotations/underlining of Congress Record pages re: church plans; pertinent bill prints of 1979 (S.1090, S.1091, HR 5171, HR 5173, HR 1576, HR 1578, HR 5239, HR 5314, S.1092, HR 1577, HR 5172, HR 5238, HR 5315). Also, unrelated envelope from Arnold & Porter to Gary Ford (Labor Subcommittee Counsel) with original material re: 1980 positions of National Coordinating Committee for Multiemployer Plans (NCCMP) on limitation of withdrawal liability upon asset sale and certain sunset provisions.</p>	<p>Kept none</p>
<p>Loose</p>	<p>1980</p>	<p>1980</p>	<p>Photocopy of draft committee print of S. 1076 Multiemployer Pension Plan Amendments Act of 1980: Summary and Analysis of Considerations. Photocopy of "Effect of S. 1076 and S. 209 on Litigation: Outline of Remarks" by Sacher and Ford (undated and unknown audience). Photocopy with original annotations of an outline "Title IV Legislation: IFEBP High Intensity Institutes, 5/7-8/80" (Peter Turza's name (Javits counsel) is on the front). Photocopy of correspondence from law firm representing a mortgage loan company to Sen. Carl Levin requesting ERISA amendment. Copy of unattributed list of pension issues and recommended legislative action.</p>	<p>Kept none</p>
<p>ESOPs</p>	<p>1980</p>	<p>1980</p>	<p>Finance Committee print "ESOPs: An Employer Handbook" forwarded to Ford. Original note from Sen. Russell Long to HAW requesting support for S. 2982 (ESOP Improvement Act of 1980). S.2982 bill print. Photocopy of draft unattributed floor statement re: S.3151 with original edits. Original handwritten notes. Original copy of Comptroller General report to Finance Committee re: ESOPs, forwarded to Ford. Copies of statement opposing a tax amendment, but no indication on specifics.</p>	<p>Kept none</p>
<p>[Label lost, but markings on content imply it was "IRAs"]</p>	<p>1976</p>	<p>1979</p>	<p>Originals and photocopies of reference material on IRAs: Public law print re: bank deposit interest; FTC booklets on IRAs; photocopy of correspondence from law firm to Sen. Long (with copy to Sacher) identifying tax concern; pension industry clippings; copy of Sen. DeConcini constituent correspondence forwarded to Sacher; PBGC pamphlet on IRAs (multiple copies); IRS publication; Rep. Gibbons press releases; outline of comments from a seminar describing IRA features; copy of Treasury official statement before House Committee; original edit of HR committee print to reflect Senate names; photocopies of constituent letters; photocopy of CRS report to Sacher re: estimated revenue effect of HR 4649; copy of Dear Colleague letter re: HR 4649 and related documents; copy of industry (ICI) note to members with IRA/Keough statistics.</p>	<p>Kept none</p>

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[Briefing book]	1980	1980	Pocket folder from Ford to HAW dated 9/23/80 labeled ERISA Interagency Employee Benefits Council, however content is no longer the “memorandum” indicated on the label. Original handwritten notes reflecting a 9/23 meeting with an OMB official; 9/29 note to Sacher from a law firm, forwarded to Ford; copy of ESOP document from Finance.	Kept none
Unlabeled	1978	1980	Various materials related to ERISA administration: Copy of memo from Ford to HAW of 9/24/80 on interagency employee benefits council (possibly the note missing from the above ‘briefing book’); photocopies of related notes from committee to OMB. Photocopy of note from Sacher to OMB commenting on a White House ERISA Evaluation Plan Design in re: a Reorganization Plan (1979), with original plan documents to Sacher from OMB. Photocopy of White House press release (1978) on reorganization; copies of HAW comments on reorganization to Committee on Government Affairs; original memo to HAW from Sacher re: reorganization, with original HAW comment returning it to Sacher. Committee Government Affairs print of hearings and report, and copies of Congress Record, and Fed Register on reorganization. Copies of Sen. Long press release and taxation joint committee. Original copy of OMB report to Congress on ERISA Admin Study.	Ref# 71. Kept/made copy of HAW remarks supporting reorganization with related notes. Kept copy of OMB ERISA report (same as one found in box 214).

Original Annex Box # 198

Committee counsel folders, including Steve Sacher.

Robert Pozen	1980	1980	Reprint of article re MPPAA of 1980 in NY Law Journal by Pozen (SEC lawyer).	Kept none
Preemption-1. MET's	1977	1980	Sacher material. Mostly reference material on Fed preemption of state laws generally or in connection with ERISA: Original correspondence from professor to Sacher re: impact of ERISA on psychology profession. Photocopy of amicus memo from DoL in court case. Published Hawaii Prepaid Health Care Act (1977). Reprint of Turza (minority counsel) article from pension journal on preemption. Photocopies of Kilberg article on preemption, one with original handwritten notes. Original correspondence from Ohio Legislative Service Commission to Sacher. Photocopies of correspondence from trade groups and state insurance depts. Clippings.	Kept none
Plumbing & Pipefitting Industry of the USA and Canada	1980	1980	Original correspondence from attorney for trustees of various benefit plans to Sen. Sam Nunn (Chair of Investigations Subcommittee), with detailed report, requesting Senate action. Photocopy of Nunn counsel response referring material to HAW.	Kept none
[Label lost, but content implies “Portability”]	1977	1979	Original correspondence and attached material from Norman Tarver, consultant to Steve (Sacher?) re: portability, specifically Canadian practices. Outline of ERISA amendments for portability. Original correspondence from consultant to Sacher with discussion draft, remarks, etc. on portability; copy to Steve of note to Turza from consultant on vesting; original handwritten notes on “benefits of portability.” Original note from professor to Steve.	Kept none
Potential Amendments	1978	1979	Original correspondence from Treasury and industry to Sacher. Unattributed tax proposals for deductibility of employee contributions to pension. S. 209 bill print.	Kept none

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Pension Statistics	1978	1979	Various reference materials, including DoL publications. Mercer Inc publication, academic papers, clippings, article reprints, Price Waterhouse study abstract, International Foundation opinion poll. Photocopy of unsigned letter to Washington Post editor from HAW in reaction to a 9/25/78 article by Spencer Rich (with copy of article).	Ref# 76. Made copy of HAW letter. Kept copies of any duplicate materials.
Pension Terminology	1977	1977	Photocopy of a draft report from an actuarial advisory group on pension terms	Kept none
Permanent Subcommittee on Investigations	1977	1977	Photocopy of memo from Sen. Nunn to Labor Secretary Marshall and Marshall's response in re: investigation into pension fund embezzling.	Kept none
Pipefitters Case	1977	1977	One clipping	Kept none
Plan Administration	1978	1978	Original correspondence from consulting firm to counsel Steve Paradise, with blue carbon of Sacher-prepared response.	Kept none
Plan Assets	1979	1979	Photocopy of NY Law Journal article	Kept none
Plan Design	1979	1979	Copies of papers on designing pension plans.	Kept none
Plan Terminations	1978	1978	Original copy of Comptroller General report re: Effect of ERISA on Termination of Single Employer Defined Benefit Pension Plans	Kept none
Plant Relocation	1979	1979	Photocopy of draft bill re: economic adjustment in case of plant closings	Kept none
[Label lost]	1979	1979	Original copy of Comptroller General report re: PBGC financial statement exam	Kept none
Pending Legislation	1980	1980	Copy of "Important Proposed Legislation" by Lieber, Mehlsack, Rose, Don Alexander (Chair) (PBGC?. Congress Record.	Kept none
1. ESOPs and ESOPs Variants	1977	1977	Bill print of S.1987 and Congress Record	Kept none
2. Individual Retirement Accounts	1977	1977	Dear Colleague letter from Sen. Dole (unsigned) re: S. 1981. Dear Colleague from Sen. Wendell Anderson re: S. 1783. Bill print of S. 2004. Copy of Dear Colleague from House re: HR 4649.	Kept none
3. Preemption	1977	1977	Bill print of S.2018 with Congress Record. Bill print of S. 20119	Kept none
4. Prudent Man Rule	1977	1977	Bill prints of S. 1745 and S. 1815.	Kept none
[Reports]	1979	1979	Original bound copies of Price Waterhouse reviews of PBGC financial operations (Task 2 Report and Task 3 Report)	Kept none
[PBGC]	1977	1980	Miscellaneous materials related to PBGC: Original correspondence from PBGC to HAW re: S.1076 passage. Copies of note from Sacher to HAW transmitting exchanges with a law firm re: a Jack Anderson column on bonuses. Photocopies of various correspondence, press releases, regulations, etc related to potentially unethical payments at PBGC. PBGC Quarterly Report to Board of Directors for 4Q '77. Comptroller General report on PBGC financials as of 9/30/77. PBGC annual report for 1977. Reprint of article from pension journal on ERISA reform. Clippings, newsletters. Copy of official notification under ERISA from PBGC to HAW re: benefit payments. Original note from Sacher to staff circulating PBGC notice.	Ref# 58. Kept copy of GAO report (also found in folder below in this box) and a memo.
Ways & Means O/Sight Hrg -7/25/79	1979	1979	Agenda and statements from House Ways & Means Committee hearing re: Multiemployer Pension Plan Termination Insurance.	Kept none
PBGC-Alan Wood Steel Recoupment	1980	1980	Clippings	Kept none

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PBGC 1. Investment Policy	1977	1977	Documents related to PBGC intention to change investment policy: PBGC press release; draft note from Sacher to Turza; draft letter to President, presumably from HAW; HAW remarks on planned change.	Kept none
PBGC 2. Premiums	1977	1977	Sacher's materials related to PBGC request for premium increase: original handwritten notes from a meeting with the GAO, what appear to be GAO estimates, original note from counsel Forsey to Sacher on GAO position, PBGC draft case for increase, PBGC second annual report.	Kept none
Pension Fund Corruption	1980	1980	Original copy of US News & World Report with cover story on union corruption	Kept none
PBGC 6. Connolly Case	1978	1978	Photocopy of PBGC civil action. Original correspondence to Sacher from law firm with their position paper on Fed regulation of multiemployer plans.	Kept none
Pension Rights Center	1979	1980	Original correspondence (2) to Sacher from Pension Rights Center (PRC) with their position papers and his response on one. Original handwritten notes related to a meeting with PRC. A PRC publication on retirement income (some quotes/appearances in it by HAW).	Kept none
PBGC 3. Regulations	1975	1977	Copies of Federal Register	Kept none
PBGC 4. Reports & News Releases	1976	1979	Mostly reports/publications: PBGC publications, PBGC press releases, PBGC annual reports. Copy of consulting firm position paper to PBGC. Copy of Sacher's 1979 recommendation to HAW to support Bob Nagle (former Committee counsel) for PBGC exec director, and HAW's letter to Marshall doing so. PBGC 1979 letter to HAW transmitting legislative recommendations (follows on PBGC's 7/1/78 report identifying problems)	Ref# 59. Made copy of HAW/Sacher documents re: Nagle. Kept carbon of PBGC transmittal (see Ref# 58).

Original Annex Box # 199

Committee counsel folders, mostly those of Steve Sacher. Bulk relates to the Daniel case, which appears to have involved a determination that employee benefit plans could be viewed as a securities sale. Labor (DoL) and SEC involved, as well as HAW Committee. Much original and copies of material showing interaction of Sacher with Labor and others on amicus and other filings/responses. Some reference material relevant to Daniel. Some other original material on S. 1076.

Bentsen Pension Hearings-12/4-5/79	1979	1979	Sacher folder. Reference material covering Finance Committee/Private Pension Plans & Employee Fringe Benefits Subcommittee hearing material re: various pending bills, including S. 209 introduced by HAW and Javits. Agenda and statements of witnesses, including those of HAW and Javits, with photocopy of Sacher briefing note to HAW.	Ref# 18. Made copy of agenda and note from Sacher to HAW. Kept copy of HAW statement. Kept any duplicates of other statements.
HAW Speech before the ABA/JLG Shoreham Americana, 9/20/79	1979	1979	Copy of HAW speech re: proposed ERISA amendments.	Ref# 51. Made copy
[Label lost]	ud	ud	Photocopy of Federal Reporter cases.	Kept none
Donald Grubbs	1978	1978	Photocopy of report from Grubbs (actuarial consultant) to Secretary of Labor re: Effects of Supreme Court John Daniel v. Teamsters case.	Kept none
Filing of Briefs	1978	1978	Sacher folder. Original correspondence (legal guidance) from outside counsel to Sacher.	Kept none
Howard Law Journal	1971	1971	Photocopy of some pages from an article re: securities laws	Kept none
[Label lost]	1978	1978	Sacher folder. Photocopy with original edits (appears to be Sacher's) of a draft note from an Alicia Kershaw to Sacher and Turza, with original handwritten notes re: "Daniel Legislation."	Kept none

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[Label lost]	1978	1978	Sacher folder. Original correspondence from outside law firm to Sacher, with attached paper written by the lawyer re: accommodating securities laws to employee benefit plans (theme seems to relate to Daniel case).	Kept none
Miscellaneous	1976	1978	Sacher folder. Various materials related to Daniel case. Original handwritten drafts (appears to be Sacher) of a filing; carbon to Sacher of DoL's proposed amicus as sent to Solicitor General Bork; original correspondence/legal advice from outside law firm to Sacher; photocopy of legal advice to Sacher and Fed regulations, with original handwritten annotations; original correspondence to HAW re: Daniel from law firm, forwarded to Sacher.	Kept none
[Label lost]	1978	1978	Copy of comments made by an attorney re: Daniel at an NYU-sponsored institute	Kept none
Finance Committee Press Release on S.1076 6/12/80	1980	1980	Multiple copies of Finance's press release announcing action on HAW's S. 1076 (amended by substitute), and related HR 3904. Detailed comparison of the 2 bills.	Ref# 22. Kept one copy.
Congressional Record Statement on Demographic Trends, 5/28/80	1980	1980	Gary Ford folder. Original transmittal to Ford from the President's Commission on Pension Policy of its first interim report; the published report itself; a copy of the report as reproduced in a Prentice Hall Report Bulletin; glued clips of newspaper coverage of the Report (NYT and Washington Post); copy of the Congress Record pages with HAW remarks on the report and the recording of the full report in the Record and the news articles.	Ref# 64. Kept copy of HAW remarks and Prentice-Hall reprint of pension report
Committee Report on S.2125	1977	1977	Multiple copies of the committee print of the Report to accompany S.2125 (ERISA amendment to extend beginning date of PBGC benefit payments).	Ref# 60. Kept copies
[Label lost]	1976	1977	Sacher folder. Daniel material. Original handwritten note (possibly from DoL) to Sacher re: strategy in Daniel filing; carbons of DoL/Sacher correspondence re: Daniel filing; original response from DoL to HAW's request for info re: DoL/SEC and Daniel; photocopies of Daniel filings and other pieces, some with original annotations.	Ref# 49. Kept carbon of DoL letter to HAW. Made copy of HAW/Javits note to DoL.
[Label lost]	1978	1978	Sacher folder. Photocopies of drafts and other material related to DoL amicus filing in Daniel. Original correspondence from DoL to HAW, forwarded to Sacher, with copy of a filing.	Kept none
[Label lost]	1978	1978	Sacher folder. Original transmittals from a law firm to Sacher of information related to Daniel (articles and draft filings).	Kept none
[Label lost]	1977	1978	Sacher folder. Photocopy of correspondence from AFL-CIO (Meany) to HAW re: Daniel case, with AFL-CIO's detailed analysis of SEC Chairman's testimony before HAW's Subcommittee. Original analysis of the AFL-CIO analysis, presumably by Sacher or other subcommittee counsel. Photocopies of HAW response to Meany. Original acknowledgement from SEC to HAW of Meany note forwarded to them. Photocopy of SEC final response.	Ref# 48. Made or kept copy of AFL-CIO correspondence and HAW exchange
[Label lost]	1979	1979	Sacher folder. Photocopy of CCH pages re: Kirshner v US case.	Kept none
[Label lost]	1978	1978	Sacher folder. Original transmittal to Sacher of a copy of a position statement sent to Treasury by an ERISA industry group re: Daniel.	Kept none
[S.1076 drafts]	ud	ud	Photocopy of ERISA print with handwritten annotations showing S.1076 changes. Original cut and paste S.1076 draft for committee print.	Kept none

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Original Annex Box # 200

Context and lay-out suggests they are committee counsels. Covers Jul-Sept 1980 period and is related to HAW's S. 1076 ERISA amendments (which becomes HR 3904).

Transcript of Committee Session on Compromise Version of S.1076 6/27/80	1980	1980	Photocopy of transcript	Ref# 23. Made copy
Floor Amendments Offered on S.1076 7/26/80	1980	1980	Photocopies, with some original annotations, of printed and unprinted amendments offered by various Senators. Notes on amendment pass/fail.	Kept none
Floor Material on S. 1076 7/29/80	1980	1980	July 24, 1980 committee print of S. 1076; Index of materials for floor action; folders containing most of those indexed materials (statements and colloquys to be made during debate).	Ref# 24 and 25. Kept or made copies of index and related items.
Enrolled Bill on HR 3904 7/29/80	1980	1980	Committee print of HR 3904 (the House version of S. 1076)	Kept none
Floor Action on S. 1076 7/30/80	1980	1980	Original and copies of July 29 Congressional Record with S. 1076 floor debate. July 29 Congress Record with final HR 3904 as passed (with S. 1076 language substitute).	Ref# 26. Kept one copy.
Office Copies of Floor Material on S. 1076	1980	1980	Two folders of photocopies of various remarks to be made on the floor during the late July debates; original handwritten drafts and notes; original comparison of Labor vs Finance committees' positions on various provisions, with proposed joint position.	Ref # 24. Made copy of summary comparison. Kept items that had duplicates found in folders above
[Label lost]	1980	1980	Photocopies of draft amendments (circa late July) to S. 1076 and HR 3904.	Kept none
Legislative Draft of HR 3904, 8/21/80	1980	1980	Two photocopies of draft amendment to the Senate amendment to HR 3904.	Kept none
House Floor Action on HR 3904, 8/25/80	1980	1980	Original and 2 photocopies of Congress Record HR debate on HR 3904 as amended by Senate on July 29.	Ref# 37. Kept one copy.
Office Copies of Material on HR 3904 Floor Action 8/26/80	1980	1980	Photocopies of various materials from August related to S. 1076/HR 3904 (Congress Record 8/6 statements, draft floor remarks, Business Week article).	Ref# 38. Kept copy of Congressional Record
Senate Floor Action on HR 3904, 8/26/80	1980	1980	Original Congress Record of floor debate.	Ref# 38. Made copy.
House Amendments to HR 3904, 8/27/80	1980	1980	Photocopy of draft amendment to the Senate amendment to HR 3904.	Kept none
Briefing book materials and handouts; Conference on HR 3904, 9/17/80	1980	1980	Handwritten notes on conference members and administrative matters. Copy from Gary Ford to HAW identifying another HR amendment. A summary comparison of the competing bills' unemployment compensation provisions; Hawaii-related reference materials; multiple copies of the unemployment compensation provisions from the Congress Record.	Kept none
Conference Report on HR 3904, 9/18/80	1980	1980	Multiple prints of Conference Report	Ref# 39. Kept copies

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Floor Material on HR 3904, 9/18/80	1980	1980	Copies of floor statement (presumably by HAW) reporting on conference	Ref# 39. Kept one copy
Discussion draft on HR 3904, 9/19/80	1980	1980	Photocopy of technical amendments to the House amendment to the Senate amendment to HR 3904.	Kept none

Original Annex Box # 201

Committee counsel folders of Sacher or Ford.

Drafts 1076 Changes	1980	1980	Original handwritten drafts of amendments.	Kept none
AGC	1980	1980	Ford folder. Original transmittal to "Gary" of proposed HR 3904 bill language from construction employer council.	Kept none
Food	1980	1980	Original note to Sacher and Turza from Cummings and Seifman (outside counsel) re: HR 3904 language changes. Photocopies of unattributed amendments with original handwritten annotations. Dellwood Foods statement on HR 3904.	Kept none
Gordon Sale; Comm Lang	1980	1980	Original transmittal of proposed language for committee report from a Mike Gordon to Gary (Ford) and Peter (Turza).	Kept none
Leg. History	1980	1980	Ford folder. Several original correspondence with proposed HR 3904 language and colloquy from counsel and industry reps to Ford/Turza/Sacher (incl one note from former counsel Feder, now a lobbyist apparently). Unattributed "proposed legislative history" directed to Ford.	Kept none
[Report]	ud	ud	Price Waterhouse's "Proposal to define integrated information requirements to meet ERISA reporting and disclosure provisions"	Kept none
Technical Amendments to HR 3904.	1980	1980	Ford folder. Draft amendments to HR 3904 from September. Original handwritten and typed notes to Ford.	Kept none
Proposed IRS Vesting Reg. 411(d)	1980	1980	Ford folder. Correspondence (photocopies or originals) and position papers from industry in response to proposed Treasury regulations on vesting. Some original to HAW, forwarded to committee, other originals direct to Sacher or Ford. Photocopies of correspondence sent to Treasury or to other Senators. Some reference material (clippings) on the regulations.	Kept none
[Label lost]	1979	1979	Photocopy of note from Majority Leader Byrd with procedures for reporting bills to Democratic Policy Committee, with attached photocopy of Paradise follow-on to his committee staff.	Kept none
1. S. 209 ERISA Improvements Act	1979	1979	Prints of S. 209 and HR 5337	Kept none
2. S.1076 Multiemployer Pension Plan Amendments Act	1979	1979	Prints of HR 3904 (5/43/79 and 12/31/79), HR 6151, remarks from HR mark-up session on HR 3904, original handwritten notes on HR 12/13/79 session on 3904.	Kept none
3. HR 6053 Retirement Income Incentive and Administrative Simplification Act	1979	1979	Bill print of HR 6053, related Congress Record, and copy of a related news article.	Kept none

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II. Other Bills, by Subject, Pending	1980	1980	Photocopy of summary by Sacher of Employee Benefit Plan Legislation pending in 96 th Congress. (Index to above 3 folders and following numbered folders. Some folders appear to be missing here based on Sacher's list). (See box 207 for what appears to be continuation of this run of folders from #16-31)	Ref# 70. Made a copy
1. LERA	1979	1979	Bill prints and related Congress Record pages (HR 628, S.557, S.75, S.1209, S.1428, HR 2049, HR 3523, HR 5480, HR 5693, HR 5754, HR 5828, HR 6049). All related to employee tax deductions for IRAs; none introduced by HAW.	Kept none
2. IRA	1979	1979	Bill prints and related Congress Record pages (S. 1925, S.94, S.1242, HR 1311, HR 1542, HR 2914, HR 3082, HR 3250, HR4547, HR 5665, HR 5754, HR 610). All related to retirement savings; only S. 1925 introduced by HAW.	Kept none
3. SIRA	1979	1979	Bill prints and related Congress Record pages (S. 1925 and HR 6190). Both related to retirement savings; S. 1925 introduced by HAW.	Kept none
4. HR 10 Contribution Limit Increase	1979	1979	Bill print of HR 5754	Kept none
5. Sex Discrimination	1979	1979	Bill print of HR 1793 and HR 1794.	Kept none
6. Alimony & Child Support Orders	1979	1979	Bill print of S. 846 and HR 1884 (no HAW).	Kept none
7. Surviving Spouses' Benefits	1979	1979	Bill prints of HR 2049, HR 3340, HR 5167	Kept none
8. Spousal Rights Under Social Security, US Civil & Military Plans	1979	1979	Bill prints HR 1851, HR 2817, HR 3594	Kept none
9. Tax Exemption for Retirement Benefits	1979	1979	Bill prints HR 1937, HR 2284, HR 6172	Kept none
10. Aggregation of Plans for Rollover Purposes	1979	1979	Bill prints S. 989, HR 4298, HR 5973 (no HAW)	Kept none
11. Favorable Tax Treatment for Deferred Compensation Plan	1979	1979	Bill prints S. 511 and HR 6041 (no HAW).	Kept none
12. Payroll Credit ESOP; Other ESOP changes	1979	1979	Bill prints S. 1240, S. 1937, HR 1589, HR 5878 (no HAW)	Kept none
13. Small Employer Plan Stimulants	1979	1979	Bill print HR 355	Kept none
14. Miscellaneous PBGC	1979	1979	Bill print HR 1569, HR 1570	Kept none
15. ERISA Reporting, Disclosure, & Administration	1979	1979	Bill print S. 1089, HR 200 (no HAW)	Kept none
NY Times Article	ud	ud	Multiple copies of draft of an article on multiemployer pension plans for the Times authored by HAW and Javits.	Ref# 52. Kept copy of annotated draft (identical to resulting clean version in folder)

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Evans	1980	1980	Original correspondence from a law firm to Sacher re: their view of inequity in proposed HR 3904, with proposed language. Original handwritten notes on copy of related bill section.	Kept none
A&P	1980	1980	Appears to be Ford folder. Original briefing memo from Sacher to HAW re: problem concerning A&P/pension that is a "Rostenkowski problem" (HAW apparently returned memo to Sacher/Ford). Extra copy of note has annotation that HAW followed the suggested action. Original handwritten notes summarizing meeting of HAW and committee counsels with A&P.	Ref# 67. Made copies
Coal	1980	1980	Appears to be Ford folder. Original handwritten notes of committee counsel meeting with BCOA and UMW re: S. 1076. Notes and explanations of S.1076 BCOA/UMW proposals. Copy of summary of PBGC/UMW/BCOA meeting.	Ref# 27. Kept or made copies.
PBGC	ud	ud	Multiple copies of draft language amending section 4063(d) and others (of ERISA?)	Kept none
Def. Bill	1980	1980	Materials related to HR 7140, postponing by a month the date PBGC required to pay certain benefits (holding action while larger deliberations went on). Bill print, original handwritten notes, floor statements by HAW and by Javits, summary of bill prepared by Joint Committee on Taxation, photocopy of a memo from Paradise to the Majority Leader related to an earlier postponement bill (HR 3915).	Ref# 61. Made copy of Paradise note. Kept any duplicates.
Kamber bill	1980	1980	Photocopy of draft article by HAW for unknown paper (likely NCCMP newsletter) re: enacted MPPAA 1980. NCCMP newsletter with Javits article on the matter.	Ref# 53. Kept copy of HAW draft.
FLCRA	1980	1980	Ford folder re: Farm Labor Contractor Registration Act (FLCRA) amendments. Photocopy of HAW/Javits Dear Colleague letter re: S. 2789 (HAW co-sponsor), Congress Record print of Javits remarks, comparison of Javits to Boren proposed amendments.	Ref# 196. Made or kept copies
Matsunaga/Seagoing	1980	1980	Photocopy of letter from company to Sen. Packwood taking position on HR 3904/S1076. Photocopy of Fed Reporter and other reference material.	Kept none
NJ Transit	1980	1980	Ford folder. Original and copy of note from law firm to Sacher re: concern over HR 3904 impact on PSE&G/Transport of NJ. Proposed amendment to address.	Ref# 40. Kept copy of correspondence and proposed amendment.
Frito-Lay	ud	ud	Photocopy of company's "Statement on S.1076"	Kept none
[Binder]	ud	ud	Photocopies of documents related to Evans Product Co pension plan, mostly Form 5500 for 1975-78	Kept none
S.557	1979	1979	Bill print of S.557 (not HAW)	Kept none
[Label lost but appears to be "Conrail ESOP"]	1980	1980	Multiple copies of Congress Record, original correspondence and positions from Conrail's attorneys to Sacher (proposed amendments to Rail Act), meeting confirmation of various industry, committee counsel, and government agency representatives (no HAW), photocopies of related correspondence between industry and US Railway Association.	Kept none
Reorg Plan #4	1977	1979	Sacher folder re: White House reorganization proposals for ERISA jurisdiction. Original handwritten notes from staff briefings by OMB reps; plan evaluation design; original and photocopied correspondence copied to Sacher; clippings, White House press releases, and other reference material; original correspondence/position statements on reorganization sent to HAW, forwarded to Sacher; photocopies and originals of documents related to 1977 DoL/Treasury jurisdiction agreements (some multiple copies). See folder in box 197 for more on this subject.	Ref# 71. Kept any duplicate material from this folder or that was also found in box 197.

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Original Annex Box # 202

Box of S.1076 materials, mostly from April-July 1980 period, mostly galleys and drafts of committee prints. Mostly copies, but some originals or copies with original annotations. A few industry position papers.

S. 1076/HR 3904: Galley [of committee print] on Summary & Analysis of Consideration 4/18/80	1980	1980	Photocopy	Kept none
S. 1076/HR 3904: House bill Ways & Means Committee print 4/18/80	1980	1980	Photocopy	Kept none
S. 1076 Copy of Summary & Analysis sent to Printer 4/80	1980	1980	Photocopy	Kept none
S. 1076 Markup, LHR Comm, 3/13/80	1980	1980	Photocopy with some original annotations	Kept none
S. 1076/HR 3904: Explanation by Finance & Joint Comm Staff 6/6/80	1980	1980	Photocopy with some original annotations	Kept none
S. 1076 NCCMP response to S.1076/HR 3904, 6/9/80	1980	1980	Photocopy of position paper	Kept none
S. 1076 Drafts of Joint Labor/Finance Bill 7/7/80	1980	1980	Photocopy with some original annotations	Kept none
S. 1076 Graphic Arts Letter re: Definition of Benefit Entitlements 6/6/80	1980	1980	Position paper and copies to Ford of letters to various senators	Kept none
S. 1076 Enrolled Bill, 7/29/80	1980	1980	Photocopy	Kept none
S. 1076 Comparison of Senate Labor Committee Bill with 5/80 House Bill	1980	1980	Photocopy	Kept none
S. 1076 Drafts of Joint Labor/Finance Explanation	1980	1980	Photocopy	Kept none
S. 1076 Drafts of Committee Views- Labor Comm Summary & Analysis & Consideration	1980	1980	Photocopies with original annotations and notes (April 1980)	Kept none
S.1076 Joint Labor/Finance Bill of 7/24/80	1980	1980	Bill print of S1076	Kept none
S. 1076 as Jointly Reported 7/24/80	1980	1980	Photocopy of marked up draft.	Kept none
Galleys of 4/80 Summary & Analysis of Consideration of S.1076	1980	1980	Original with original edits	Kept none

Original Annex Box # 203

Committee counsel material, probably Sacher for most part. Mostly bill prints and reference materials on various bills. A handful of S. 1076 materials.

S.1089	1979	1979	Sacher file. Briefing notes from Sacher to HAW on bill hearings (Bentsen bill re: ERISA amendments), committee print of bill and hearing, Congress Record.	Ref# 43. Made copy of Sacher/HAW notes. Kept copy of bill print.
S. 1090	1979	1979	Bill print (not HAW)	Kept none
S.1473	1979	1979	Bill print (not HAW)	Kept none
S.1648	1979	1979	Bill print (not HAW)	Kept none
S.1925	1979	1979	Bill prints (HAW bill), original Dear Colleague from HAW, briefing note from Sacher to HAW re: speech on IRAs.	Ref# 44. Kept copy of bill. Made copy of Dear Colleague and Sacher note.

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	ud	ud			
S.1991		ud	Photocopy of a proposed amendment to 1991		Kept none
S.2081	1979	1979	Bill print (not HAW)		Kept none
S.2530	1980	1980	Ford file (labeling of file is consistent with others in this box, so suggests that many of these are his). Original transmittal from a Marcia Wolf to Ford with draft response to rail employees opposing S.2530 (not HAW bill).		Ref# 45. Made copy of response and Wolf's note.
S.3012	1980	1980	Bill print (HAW co-sponsor)		Ref# 47. Kept copy
S.2887	1980	1980	Bill print (HAW bill)		Kept none
S.2979	1980	1980	Bill print of S2979 and HR7793 (not HAW). (Bill to amend Railroad Retirement Act)		Kept none
S.3137	1978	1978	Bill print (not HAW)		Kept none
S.3017 Press	ud	ud	Photocopy of article from Barron's		Kept none
S.3137	1978	1978	Bill print (not HAW)		Kept none
Elmer Sayre	ud	ud	Draft paragraph for Committee report re: PBGC		Kept none
[Label lost]	1978	1978	Original correspondence to Sacher and Turza from Schanes Associates, summarizing their meeting re: ERISA amendments.		Kept none
Securities & Exchange Commission	1979	1980	Clippings		Kept none
SEC 1. Rule 154	1979	1979	Original transmittal from SEC to Sacher of a SEC staff report. Photocopies of Fed Register, SEC registration document, and industry paper, with original handwritten notes.		Kept none
SEC 2. Registration	1980	1980	Clippings and other reference material		Kept none
SEC 1. Financial Disclosure	1980	1980	Haskins & Sells-generated reference material		Kept none
S. Res 30	1979	1979	Congress Record clip		Kept none
1934 Securities Exchange Act	1978	1978	Two copies of Banking Committee Report to accompany HR 8331, Securities Investor Protection Act of 1978 (HAW supported). Copy of an industry bulletin.		Ref# 47. Kept copy of Report.
Small Business	1978	1978	Bill print of HR 11445. Appears to have Sacher's writing on it.		Kept none
Securities Laws Application to ERISA Plans	1979	1979	Original transmittal to Sacher from law firm with draft chapter of book on pensions.		Kept none
Senate Rules	1980	1980	Copy of Sacher note to committee staff (looks like Ford's copy) transmitting Majority Leader note re: committee referrals.		Ref# 80. Made a copy.
Senator Inouye	1977	1977	Original note from Senator to HAW requesting time to testify at S. 1383 hearing, with telephone slip to Sacher from Inouye's office.		Ref# 74. Made copy of Inouye-HAW note
Senator Javits	1978	1978	Congress Record extract.		Kept none
Severance Pay Plans	1978	1978	Fed Register extract		Kept none
Simplified Employee Pension Plan	1980	1980	Reference material		Kept none
Single Agency	1979	1979	Draft and edited draft of article by Sacher re: ERISA jurisdiction. Relates to reorganization issue.		Kept none
SIRAs	1979	1979	Savings for Retirement Act (SIRA) (S. 1925). Briefing note from Sacher to HAW on bill introduction. Copy of Dear Colleague. General reference material on retirement accounts.		Ref# 44. Made copy of Sacher note

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S. 1076 Fact Sheet	1980	1980	Multiple copies of an unattributed S. 1076 fact sheet. Copy of AFL-CIO News.	Ref# 28. Kept copy of fact sheet
S. 1543	1979	1979	Bill print (not HAW)	Kept none
S. 1076	1979	1979	Copy of unattributed proposed amendments to S. 1076	Kept none
S. 1076 Form Letters- Opposition	1979	1980	Originals of notes (about 20) to HAW from companies and a union opposing HAW's bill	Kept none
Support for S. 1076 Letters	1979	1980	Three original company and union letters to HAW.	Kept none
S. 1076 PBGC Proposal	ud	ud	No attribution on documents themselves, but appears to be package of PBGC proposal of 1979 that becomes S. 1076.	Ref# 28. Made copy of summary document
S.1076/HR 3904 Ways & Means Working Draft 2/28/80	1980	1980	Photocopy. Appears to be part of bill draft folders found in box 202.	Kept none
S. 1076/HR 3904 Committee Print, 3/24/80	1980	1980	Bill print of HR 3904. Appears to be part of bill draft folders found in box 202.	Kept none

Original Annex Box # 204

Committee counsel folders, mostly those of Sacher on various topics related to pension matters. Some Chrysler bail-out material, mostly as it connects to the company's pension plan.

Central States H&W Fund	1978	1978	Photocopy of affidavit of a Labor investigator in a case involving the pension fund with copies of exhibits submitted by the investigator to the court acquired under subpoena.	Kept none
HR 3904	1980	1980	Ford file. Various materials related this bill. Most important are copies of Sacher/Ford notes to HAW, original correspondence from Matsunaga to HAW on Hawaii exemption, original correspondence from law firm to Ford/Turza with amendment. Press releases and other reference material.	Ref# 41. Made copies of HAW materials (staff notes, Matsunaga material, etc).
Chicago Electrotypers Pension Plan	1978	1978	Two copies of original correspondence from PBGC to HAW with legally required notification of payments.	Kept none
Explanation of HR 3904	1979	1980	Ford folder. March 1980 House committee print; unattributed MPPAA section by section analysis; PBGC report with recommendations re: multiemployer pensions; draft Sen. Hatch amendment to S. 1076	Ref# 59. Kept PBGC report (same as one in box 198). Ref# 41. Kept copy of HR 3904 Explanation
Funding 1. Book Reserve	1978	1979	Sacher folder. Original transmittal from PBGC to Sacher of material on reserves. Other reference material from PBGC on subject.	Kept none
General Stock Ownership Plans (GSOPs)	1978	1978	Congress Record pages	Kept none
Gifts	1980	1980	One business card with notations of gift.	Kept none
Mike Gordon	ud	ud	Photocopy of Javits letter to President recommending Gordon appointment to Presidential Retirement Commission.	Kept none
Governmental Plans	1979	1980	Ford/Sacher. Reference material on government plans under ERISA.	Kept none

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Grateful Letters	1977	1980	Original thank you letters from companies, unions, trade associations, and individuals to HAW (some to Sacher) re: various pension related legislation.	Kept none
Bill Greenough	1979	1979	Correspondence exchange, including original, between Sacher and TIAA-CREF Chair	Kept none
Donald Grubbs	1977	1978	Original correspondence/analyses from actuarial consultant to Sacher; copies of Grubb material to Turza; Grubb research paper on ERISA.	Kept none
HR 654	1979	1979	Bill print	Kept none
HR 1145	1979	1979	Bill print	Kept none
HR 1543	1979	1979	Bill print	Kept none
HR 1576-78	1979	1979	Bill prints	Kept none
HR 2797	1979	1979	Bill print	Kept none
HR 3236	1979	1979	Bill print	Kept none
Civil Service Reorganization	1978	1978	Sacher folder. Photocopies of two memos from Darryl Anderson to HAW briefing him on whistleblower impact of proposed S.2640 (not HAW) Civil Service Reform Act. Appear to be Sacher's copies.	Ref# 46. Made copies.
Civil Service Retirement	1978	1978	Clipping, published law.	Kept none
Claims Procedures	1977	1979	Multiple copies of paper written (apparently for personal purposes) by Sacher re: The ERISA Claims Procedure and Arbitration. Rest of material in folder appears to be reference material: Copies of position statements by the Pension Rights Center re: claims procedures; original transmittal to HAW from DoL of a pamphlet on claims procedure; Pension World article on subject.	Ref# 81. Kept copy of Sacher paper.
Collective Funding Vehicles	1979	1979	Photocopy of correspondence to HAW from Wells Fargo counsel stating position re: bank trusts; reference material mostly from ICI on bank trust pools.	Kept none
Citizen's Commission on Pension Policy	1978	1978	Sacher folder. Photocopy of position from this group, with handwritten transmittal from a Karen to Sacher endorsing the group's activities.	Kept none
Foreign Countries Pension Systems	1978	1979	Sacher folder. Two booklets as reference material on subject	Kept none
Foreign Investments	1979	1979	Sacher folder. One clipping from Wall St Journal	Kept none
Form 5500	1977	1980	Sacher folder. Original transmittal to Sacher from American Bankers Association. Original transmittal to HAW with position papers from actuarial consultants re: Form 5500 changes. Fed Register extract.	Kept none
Chrysler Corporation	1979	1979	Photocopy of briefing material from Philip Corwin (HAW's Legislative Assistant) to "Senator" re: Nov 79 hearings in Banking Committee on Chrysler loan guarantee bills. Copy of statement by Sen. Stevenson. Copies of article from P&I on subject.	Ref# 69. Made copy of briefing materials.
Chrysler Assistance	1979	1980	Appears to be Sacher folder. Issue was a Banking Committee one, but there were connections to pension/ESOP issues that were referred to Sacher for consideration. Folder includes mostly bill prints and Congress Records. Also, photocopy of note from Treasury to Philip Corwin which Corwin referred to Steve for comment; bill drafts forwarded to Sacher, with original annotations; PBGC note to Sacher with info they provided Sen. Proxmire.	Kept none

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Bill Chadwick	1978	1978	CRS paper "Major Issues Facing the Private Pension System" with exchange between Chadwick (from a law firm) and the CRS with Chadwick objecting to the extent to which a paper of his was plagiarized (one copy of this exchange went to Sacher, which is in this folder). Also, a transmittal from Chadwick to Sacher of a book he wrote on ERISA; that is likely the loose materials of chapters found in a couple places in this box and pulled together (during the archival survey) with this note.	Kept none
Tamar Frankel	ud	ud	Resume	Kept none
Funding	1977	1978	Reference materials on pension plan funding, some directed to Sacher by consultants like Grubbs. Original correspondence from Connecticut General Life Company to Sacher with their plan expense estimates. Correspondence from an actuary to IRS, with original copy to HAW.	Kept none
Church Plans	1977	1980	Sacher folder. Extensive and ongoing original correspondence to Sacher from law firm representing Church Alliance for Clarification of ERISA, with many associated documents from the group, including position papers, copies of correspondence to government agencies, etc. Some 1980 indications that HAW met with NJ branch.	Kept none

Original Annex Box # 205

Committee counsel folders, mostly Sacher and Ford. Generally are reference materials.

Congressional Budget Act	1978	1978	Photocopy of staff notes re: preparing committee budget	Kept none
Definitions	1977	1977	Photocopy of Fed Register page re: certain ERISA terms	Kept none
[Label lost but likely "Employee Benefit Plan Statistics"]	1978	1980	Sacher folder. Reference materials from DoL and a pension consultant.	Kept none
Collectively Bargained Pensions	1980	1980	Sacher folder. Sacher's copy of AFL-CIO American Federationist magazine open to an article on subject.	Kept none
[Label lost, but likely "Cost of Living Increases"]	1979	1980	Reference materials and original handwritten notes	Kept none
[Label lost but likely "Crediting of Service"]	1978	1978	Photocopy of note from DoL to Sacher responding to a Sacher request.	Kept none
Criminal Provisions	1979	1979	Original correspondence from DoL Inspector General to HAW in response to his request at a hearing	Kept none
Edward Cross	1978	1978	Photocopy of correspondence from a AFL-CIO local Secretary-Treasurer re: a dispute over retirement benefits, with copy of Local's retirement fund rules.	Kept none
Jack Curtis	1980	1980	Ford folder. Drafts of article co-written by Ford about MPPAA.	Kept none
Collective Investment Media	1978	1979	Sacher/Ford folder. Much reference material on subject, including some sent directly to Sacher with original transmittals (mostly ICI).	Kept none

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Democratic Platform	1980	1980	Photocopy of an FYI note from Sacher to other committee counsel of a HAW Democratic policy statement. Not related to committee business.	Ref# 482. Made copy.
[Label lost but likely "DOL Administration"]	1977	1979	Sacher folder. Copies of articles re: ERISA administration, statement by Administrator before Senate Judiciary Committee; transcripts of proceedings for some Advisory Council (Labor) meetings; carbon of Labor note to HAW responding to his request at a hearing for info.	Kept none
[Label lost but likely "Daniel Case"]	1978	1979	Mostly published reference material on Daniel, mostly clippings. Photocopy of paper authored by Sacher on "Certain Securities Law Applications to Employee Benefit Plans" and outline of Sacher remarks for an SMU symposium on benefits.	Kept none
Davis-Bacon Act	1979	1979	Congress Record	Kept none
Dawson v. Whaland	1977	1977	Copy of an amicus filed in case	Kept none
[Label lost but likely "Deduction for Employee Contributions"]	1978	1978	Photocopy of note from Michael Gordon (outside law firm likely representing a trust fund) to counsel of HR subcommittee re: IRAs.	Kept none
[Label lost but likely "Deferred Compensation"]	1978	1978	Reference materials on subject	Kept none
Defined Contribution [DC] Plans	1978	1978	Photocopy of correspondence from ADP (DC service provider) to Cummings and Kershaw identifying DC advantages.	Kept none
[Label lost]	1977	1979	Photocopy of note from DoL to Comptroller General, various clippings.	Kept none
ERISA 5. Litigation Involving ERISA	1978	1979	Sacher folder. Photocopies of various cases and articles re: cases. Also here (likely misfiled) is original note from Sacher to HAW (returned to Sacher) re: progress on ERISA improvements act of 1978 (S. 3017).	Ref# 11. Made copy of Sacher note to HAW
ERISA 6. Investigations	1978	1978	Sacher folder. Original transmittal from National Pension Fund to Sacher of a note sent to DoL re subpoenaes.	Kept none
[Label lost]	1977	1977	Photocopy of a report from DoL to Congress in compliance with ERISA	Kept none

Original Annex Box # 205a

Committee counsel folders (Sacher and Ford). Mostly reference materials or exchanges on technical pension matters.

EEOC	1980	1980	Original correspondence from law firm to HAW re: EEOC change to benefits calculation	Kept none
Equal Pay-Comparable Value Jobs	1979	1980	Sacher folder. Original transmittal from the Legal Times to Sacher of various articles on gender pay equity; photocopy of EEOC finding in sex discrimination case.	Kept none
ERIC	1979	1979	Original correspondence from law firm to Sacher re: tax deductions	Kept none
[Label lost but likely "ERISA Advisory Council"]	1977	1980	Sacher folder. Transcripts of meetings and other material from Council (ERIC), some with Sacher's original handwritten notes.	Kept none
[Label lost]	1977	1977	Bill print of S. 1815 (not HAW)	Kept none
ERISA 3. Advisory Council	1978	1978	Copy of proceedings of a council meeting sent to Sacher.	Kept none

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Dept of Labor 5. Advisory Council	1979	1979	Copy of proceedings of a council meeting	Kept none
Labor Dept Studies on S. 209	1978	1979	Copy of 3 DoL reports on pension plan funding costs re S.209 (HAW bill)	Kept none
Employee Benefit Research Institute	1978	1978	Reference material: pamphlets and other documents published by EBRI	Kept none
[Label lost]	1978	1978	Wall St Journal clipping	Kept none
[Label lost but likely "Disability Reductions"]	1978	1978	Original CRS response to Sacher request for info and materials re: relation of private vs Social Security benefit payments	Kept none
Discrimination	1977	1977	Original copy to HAW of correspondence re: charge of discrimination in pension payments.	Kept none
[Label lost but likely "Disqualification"]	1978	1978	Photocopy of article	Kept none
Dual Jurisdiction	1978	1978	Photocopy of article	Kept none
Philip Dorian	1978	1978	Photocopy of correspondence from insurance agent to Sacher re: their meeting on ERISA rules	Kept none
Duke Law Journal	1978	1978	Photocopy of note from Journal inviting HAW to participate in a symposium, Sacher's note to HAW suggesting they co-author, and original note from Journal to Sacher which suggests Sacher is pursuing this alone.	Kept none
EBP Investment Media	1977	1977	Wall St Journal clipping	Kept none
EBS-1	1978	1978	Copy of note from consultants to DoL	Kept none
Employee Benefit Council	1980	1980	Ford folder. Exchanges between HAW and OMB on a proposal, with briefing notes to HAW from Ford. Interagency Employee Benefits Council (IEBC). Reference material including Presidential Commission on Pension Policy report (with handwritten annotations) and Advisory council meeting transcript.	Ref# 50. Made copy of Ford/HAW/OMB exchanges.
2. Investigations	1977	1978	Clipping; original copy of Comptroller General report "Laws Protecting Union Members & Their Pension & Welfare Benefits Should be Better Enforced"	Kept none
Dept of Labor 3. Enforcement	1979	1979	Copy of DoL progress report from Advisory council meeting	Kept none
[Label lost but likely "DOL Status Report Regs"]	1979	1979	Copy to HAW of correspondence from actuary to DoL re: benefit recordkeeping regulations	Kept none
DOL 1979 Budget	1978	1978	Photocopies of DoL press releases and detailed budget request; copy of draft HAW/Javits note to Appropriations Committee re: enforcement funds.	Kept none
[Label lost]	1977	1977	Original correspondence to HAW from Cost Accounting Standards Board transmitting proposed regulations for pension costs (with regulations to be published in Register)	Kept none
[Label lost but likely "Directed Trusts"]	1977	1977	Photocopy of a study from John Hancock Insurance re: ERISA impact on directed trusts.	Kept none

Original Annex Box # 206

Committee counsel Gary Ford working files dating from June-July 1980. All related to S.1076 considerations. Materials includes draft language for bills and amendments with original annotations and edits (this is the bulk), checklists of items to include or watch for; background documents from DoL, other Committees, etc. found elsewhere in other boxes but here marked-up with annotations; original notes and correspondence direct to Ford from law firms proposing specific language for various parts of the bill. All material loose or loosely foldered, and very heavily annotated. Ford's red pen and notebooks are included. There are copies of 4 briefing papers from Ford or Darryl Anderson to HAW for the July 28-29 floor debate.

Ref# 27: Kept copies of BCOA/UMWA matter, related to material found in box 201.

Ref# 29: Made copy of briefing materials. Kept copy of note to Senators Long and Bentsen. Kept copy of S.1076 Summary and Analysis of Considerations by Section (same as in box 212)

Original Annex Box # 207

Committee counsel folders, likely Ford's.

[Bill prints]	1979	1980	A series of folders containing bill prints and some related Congress Records re: retirement-related bills. Folders containing bills are numbered 16-23, 26-31, plus a Miscellaneous folder and enacted bills in folders III. 1 and 2. These are all likely the continuation of the run found in box 200. Only one is a HAW bill. Some original handwritten notes in the Misc folder.	Kept none
[Loose]	1979	1979	Unopened update of Pension & Profit Sharing Report subscription mailed to Ford. Reprint of HAW and Javits Congress Record statements introducing S.209 (ERISA Improvement Act, successor to unpassed S.3017 of 1978.)	Kept none
Statement for [...]	1980	1980	Copies of floor remarks for calling up HR 7685 (30 day PBGC payment delay). Handwritten notes and what appears to be draft remarks for a related topic.	Ref# 62. Kept copy of remarks.
Discussion draft #4	1979	1979	Photocopy of June 26, 1979 draft of S.1076. (appears to be part of run found in box 202)	Kept none
Summary of S.1076 for the Democratic Policy Committee	1980	1980	Photocopy of the committee print of S. 1076 summary & analysis of consideration (appears to be part of run found in box 202)	Kept none
S. 1076 as introduced May 3, 1979	1979	1979	Photocopy of original S.1076 bill print (appears to be part of run found in box 202)	Kept none
[Label lost]	1980	1980	Appears to be another copy of the discussion draft #4 of S1076 of June 26, 1980 (appears to be part of run found in box 202)	Kept none
Committee print on S1076, S&AC	1980	1980	Photocopy of the committee print of S. 1076 summary & analysis of consideration. Appears to be the same as document above. (appears to be part of run found in box 202)	Kept none
Committee print on S. 1076	1980	1980	Print of amended S. 1076 circa April 1980 (appears to be part of run found in box 202)	Kept none

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Changes in Existing Law Made by the bill as reported (Ramseyer version)	ud	ud	Photocopy of ERISA with printed annotations of changes (presumably S. 1076 changes) (appears to be part of run found in box 202)	Kept none
[Unlabeled]	1980	1980	Ford folder. Edited copy of HR 3904 bill print (July 29). Photocopy of HR 3904 amendment with some original annotations. Draft language of remarks. Original handwritten notes. Copy of Wallop, et al Dear Colleague re: supporting HR 3904 amends. Intra-staff notes (one original) from Aug re: HR 3904 changes.	Ref# 62. Made copy of two staff notes. Made copy of Wallop Dear Colleague letter.

Original Annex Box # 208

Committee counsel folders (including more General ERISA folders), including Sacher. Generally these folders are reference material.

Morgan Guaranty Trust Co (MGTC)	1977	1977	Documents related to S. 285 which apparently aimed to reduce concentration of equity holdings in pension funds. Some relation to ERISA rules. Issue directly involved Bentsen/Finance Committee. Morgan cited as specific case of manager influencing stock prices through excessive holdings. Material is from Morgan, including Morgan statement to Finance, press release, research studies conducted for Morgan, samples of Morgan transactions/reports/investment management meetings.	Kept none
[Label lost but likely "Participation"]	1977	1977	Photocopy of remarks outline from an attorney presented at SMU seminar. Fed Register rules	Kept none
[Label lost]	1978	1979	Sacher folder. Photocopies of several notes with attachments from Sacher to HAW. Original correspondence and position statement sent to Sacher by Teamsters law firm re: PBGC funding. Original handwritten notes. Another SMU seminar remarks outline.	Ref# 63. Made copies of Sacher/HAW notes.
P.L 95-214-Title IX ERISA: PBGC Premium Increase Study	1977	1977	Carbon of Sept 29 note from PBGC to HAW transmitting their analysis Premium Requirement for the Single Employer Basic Benefits Insurance Program, parts I and II (follow-up on their 9/23 request for premium increase), with photocopy of analysis.	Kept none
Multiemployer Plans as Defined Contribution Plans	ud	ud	Bound research report "Investment Regulation of Multiemployer Plans: An Alternative to ERISA's Title IV" by a Case Western law prof (reference material)	Kept none
National Retirement Policy	1979	1979	Photocopy of draft of research report prepared for Committee for Economic Development (reference material)	Kept none
New Jersey Banks	1977	1977	Clippings from Wall St Journal re mob/unions/banks	Kept none
New Jersey Milk Industry Fund	1977	1977	Original correspondence from PBGC to HAW with legal notification of payments begun to milk local fund. Related news clippings and PBGC press release. Original note from Sacher to HAW, returned to Sacher with HAW comment, re: resolution of matter.	Ref# 75. Made copies
New Jersey Teamsters	1977	1977	Clippings from Wall St Journal on mob/union	Kept none
New York City Pension Plans	1978	1978	Wall St Journal clipping	Kept none
1978 Bill	1978	1978	Washington Post clipping	Kept none

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National Planning Association	ud	ud	Flyer with information on this organization	Kept none
Nomination Hearing on William Hobgood	1979	1979	Photocopy of nomination form (Asst Secretary of Labor) and publicly-disclosed portion of personal disclosure form. Photocopies of HAW remarks, questions, Sacher comments to HAW.	Ref# 56. Made copy of HAW questions and remarks.
Normal Retirement Age	1978	1978	Copy of article from Pension Planning & Compliance	Kept none
Multinational Plans	1979	1979	Clipping from National Law Journal; consultant publication	Kept none
Multiple Employer Trusts	1977	1977	Copies of industry association letter to DoL and open letter to Congress; clipping	Kept none
Mutual Funds	1976	1979	Copies of various ICI member notices; reprint of Duke Law Journal article on mutual funds/ERISA	Kept none
Nachman Case	1979	1980	Print of a brief of Supreme Court case (involved PBGC); related clipping	Kept none
[Label lost]	1978	1978	List of attendees at SMU symposium on employee benefits (including Sacher).	Kept none
[Label lost]	ud	ud	Photocopy of membership brochure for National Association of Small Retirement Plans	Kept none
NCCMP	1977	1980	National Coordinating Committee for Multiemployer Plans newsletter (Sacher's originals); photocopies of NCCMP proposed ERISA amendments and circular memos to their members	Kept none
[Label lost]	1978	1978	Original note from Sen. Frank Church to HAW requesting coordination with Church's upcoming hearings by Special Committee on Aging. Photocopies of material enclosed as background for the hearing.	Kept none
Communications Workers	ud	ud	Handwritten note (looks like Sacher) of conversation with Lou Gerber of CW	Kept none
Community Property	1978	1978	Photocopy of a court decision	Kept none
Moglia	ud	ud	Photocopy of Fed Reporter pages of a court case and 1947 labor relations act; original handwritten notes	Kept none
[Label lost but likely "Manassas Park Expansion"]	1979	1979	Sacher folder. Transmittal of information on bill to Sacher from Rep. Harris office. Congress Record information.	Kept none
Mandatory Private Pension System	1980	1980	Photocopy of report from Grubbs to HR Committee on Aging; original transmittal from law firm of ERIC (ERISA Industry Committee) to Ford of statements given to Presidential Commission on Pension Policy; statements have original annotations	Kept none
Markup on S. 209	1979	1979	Photocopies of material that appear to be briefing book for S. 209 committee mark-up session. Copies of HAW press release on committee approval. Original note from Sacher to HAW following up after meeting. Original Sacher copy of a note from consultants to Bentsen aide. Photocopy of section by section analysis (of 209?) of which parties oppose/favor.	Ref# 19. Made copy of most materials.
Matsunaga Real Property Investment Amendment-S.1958	1980	1980	Appears to be HAW copy of a note from Arkansas company to their senators re: Matsunaga bill.	Kept none
Noteable bill 95-2	1977	1978	Sacher folder. Mostly prints of various bills, mostly from 95 th , 2 nd session and mostly related to IRC. None appear to be HAW bills. Congress Records and copies of some related documents. Some intra-staff notes on some bills or original annotations.	Kept none
Josephine Matthews	1980	1980	Original correspondence from Prentice-Hall publisher to Ford seeking to stay informed of pension law developments.	Kept none

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Tom McCord	1979	1979	Originals and copies/carbons of notes to Sacher and HAW re: seminars	Kept none
Mergers & Consolidations	1977	1977	Clippings. Write-up with attached material on Section 208 of ERISA	Kept none
[Label lost but likely "Merrill Lynch"]	1977	1978	Sacher folder. Orig correspondence from salesman to HAW objecting to an ERISA provision; original handwritten notes; background memo from Merrill for telephone call.	Kept none
[Label lost but likely "METS"]	1979	1979	Clippings; American Federation for Labor & Business publication; copy of court case findings.	Kept none
Military Pensions	1977	1978	Copy of constituent correspondence to HAW, clipping	Kept none
[Label lost]	1977	1978	Original handwritten notes of conversation with millinery union and photocopy of related document	Kept none
Miscellaneous ERISA Related	1977	1979	A few clippings	Kept none
[Label lost]	1977	1977	Sacher folder. Original correspondence and supporting material from Boys Clubs of America to Sacher regarding their pension plan; original handwritten notes of meeting.	Kept none
Multiemployer Plans-Financial Data	1980	1980	Photocopy of analysis forwarded to Ford by PBGC.	Kept none
Joe Poggi-GAI	ud	ud	Photocopy of regulations, handwritten notes	Kept none
Paperwork Burden-Coordination	1978	1979	Photocopies and other materials related to general concerns over regulatory paperwork, and some relation to ERISA reporting.	Kept none
[Label lost]	1977	1978	Sacher's copy of intra-staff notes on administrative topics (e.g., Paradise to all staff on policies about Senate floor access)	Kept none

Original Annex Box # 209

Committee counsel material. Half of box is a rich set of folders with industry correspondence and position papers from Sacher's files.

[Unlabeled]	1979	1980	Appears to be materials related to a panel discussion held in Hawaii that Ford (likely) and/or Sacher participated in. Correspondence from a law firm associated with the panel with hypothetical cases, original handwritten notes on them, bill prints (S.209 and HR 3904), typed outlines, Congress Record. Honolulu/Hawaii street map, safe deposit receipt (Ford)	Ref# 19 and 36. Kept these copies of S.209 summary & analysis (same as in box 212) and HR 3904 (same as in box 213).
S. 1076 (HR 3904) Careful Press Draft	1980	1980	Photocopy of annotated March 1980 version stamped "Careful PRESS"	Kept none
Current	1980	1980	Congress Record for August 21. Pocket folders for HR 3904 8/26 floor action (several empty), others with copies of remarks (generally incomplete).	Ref# 42. Kept or made copies.

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[Chrono incoming correspondence re: 1076]	1979	1980	Sacher's folders. 5" of folders in chronological order from January 1979 to February 1980 of correspondence and position statements related to S. 1076/HR 3904. This is a very rich set of documents in the extent to which they document competing viewpoints or expressions of interest groups. Many original memos to either HAW or Sacher with industry/company/PBGC views on aspects of the bills. Some general position papers or statements sent to committee. Papers are generally copies. Letters are often original, but many photocopies as well. Also originals and copies of letters received by other senators and forwarded to committee. Copies of some briefing notes from Sacher to HAW, prepping HAW for hearings and meetings. Some original handwritten notes from conversations and meetings with lobbyists.	Re# 30. Made copies of briefing notes. Kept copy of PBGC fact sheet.
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Original Annex Box # 210

Committee files of incremental HR 3904/S. 1076 versions, with annotations. All photocopies, little original documents. A thick folder of correspondence on ERISA matters referred to the subcommittee counsel to answer for HAW's signature as Chair.

Committee draft on HR 3904 (S.1076) No. 5 6/27/80	1980	1980	2 copies of HR 3904, presumably as of 6/27. Two notes apparently reflecting the committee member votes on mark-up and to report bill out of committee.	Kept none
S.1076 Draft 7/1/80	1980	1980	Copy of HR 3904 (version much like above)	Kept none
S. 1076 Leg draft 7/17/80	1980	1980	Copy of HR 3904 with copies of annotations changing it to S.1076	Kept none
S.1076 Legislative draft 7/21/80	1980	1980	Copy of S.1076 (appears to be above's revision)	Kept none
Legislative draft of S.1076 7/24/80	1980	1980	Copy of S.1076 draft with copies of annotations/edits	Kept none
Technical Corrections in S.1076 7/29/80	1980	1980	Copy of list of amendments (technical corrections) to S.1076 language to be proposed.	Kept none
Enrolled Bill on S.1076, 7/29/80	1980	1980	Photocopy of HR 3904 with copies of annotations/edits	Kept none
[Correspondence]	1979	1979	Blue carbons of HAW correspondence from a few months in 1979 re: various aspects of ERISA and other matters Carbons generally attached to original correspondence received from individuals, companies, trade associations, laws firms. Many churches/clergy re: church provisions. A couple exchanges with other senators. A few exchanges involve Sacher directly.	Re# 80. Made copies of exchange between HAW and Proxmire/Garn.

Original Annex Box # 211

Committee counsel folders, generally Sacher folders on various ERISA-related aspects. Most important and useful is folder of PBGC documents.

[Correspondence]	1979	1980	Blue carbons of HAW correspondence for a few months in 1980 re: various aspects of ERISA. Carbons generally attached to original correspondence received from individuals, companies, trade associations, laws firms. Many churches/ministers re: church provisions.	Kept none
[Unlabeled]	1977	1978	4 Sacher folders containing key documents related to PBGC multiemployer project (what becomes S. 1076). Table of contents for items tabbed A-X (tabs F-S not here). Includes Sacher's original handwritten notes from meetings on the subject. Goes from Dec '77 to Sept '79.	Kept none
Bankruptcy Priorities	1977	1978	Sacher folder. Bill prints of S. 2266 and HR 8200 (not HAW or HAW committee). Original handwritten notes re: the bills and meeting on them. Aspects of the bills concern PBGC; photocopy of note from PBGC to Sen. De Concini forwarded by Mitch Strickler (PBGC?) to Sacher.	Kept none
Articles	1980	1980	Clippings (Sacher to Ford) re: pensions/ERISA	Kept none
Automatic Data Processing	1980	1980	Photocopies of DoL exchanges with ADP and copy of ERISA amendments with original underlining/annotations	Kept none
AT&T Reciprocity	1979	1979	Sacher folder. Binder with original correspondence from AT&T to Sacher re: Bell system pensions in re: S. 209; several attached related documents.	Kept none
Church	1979	1980	Original correspondence to Sacher or HAW re: church impact of ERISA; copy of note to HAW from Sacher on matter. Photocopies of background on EEOC/religious institution relation.	Kept none
Assignment & Alienation	1978	1979	Sacher folder. Original correspondence to Sacher re: ERISA/spousal support. Background articles and papers on subject.	Kept none
Assoc of Private Pension & Welfare Plans	1979	1980	Original trade association paper forwarded to HAW/Sacher.	Kept none
[Clippings]	1978	1978	Copies of clippings from Washington Post of 11/12/78 re: pensions & retirement	Kept none
[Label lost but likely "Central States Teamsters Pension Fund"]		1978	1979 Photocopies of DoL court filings and other documents against Central States. Copies of correspondence to HAW.	Kept none
Broker-Dealer Exemption	1978	1978	Photocopy of ACLI position paper and related DoL proposal.	Kept none
Budget	1980	1980	Photocopies of committee intra-staff notes re: program budget planning	Kept none
Cafeteria Plans	1978	1978	Reference material on subject	Kept none
Captive Insurers	1979	1979	Reference material on subject	Kept none
CELL	1977	1977	Reference material on liability insurance sent to HAW by an insurance company	Kept none

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Benefit Status Reports	1979	1979	Original correspondence to Sacher and attached materials from company re: pension plan reporting	Kept none
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Original Annex Box # 212

Committee counsel folders (Ford).

Changes for Final Senate Bill	1980	1980	Ford folder. Original correspondence from various law firms and associations to Ford with positions and specific language for S. 1076/HR 3904. Related bill prints. Original handwritten notes.	Ref# 36. Kept S.1076 print (same as box 213) and HR 3904.
Discussions for Mini-Conference between Senate Labor HR Com & Sen Finance Com	1980	1980	An unusual folder. Markings indicate that it belongs to either Feder or Walshe, but they are in outside firm. Includes copies of draft and final NCCMP positions, PBGC-prepared 3904/1076 comparison (at June); and original handwritten notes of meeting between committee counsels and outside law firms.	Kept none
[Loose]	1980	1980	Ford. March 1980 annotated version of HR 3904.	Kept none
DOL Study on Pension & welfare Plans Under ERISA	1978	1980	Ford folder. 1980 transmittal to Ford from DoL of 2 reports prepared for DoL re: ERISA.	Kept none
1 st draft of report	1980	1980	Ford folder. Draft committee report on S. 1076 (June) for Ford comments, with his original annotations.	Kept none
Changes in Print	1980	1980	Ford folder. Drafts of S. 1076 language. Original handwritten notes re: NCCMP position.	Kept none
[Label lost]	1979	1979	Ford folder. Photocopies of a series of "drafters notes" from an outside counsel (Judy Mazo) to Henry Rose, PBGC counsel re: HR 3904. The earliest from May was copied directly to Ford and his heavily annotated copy is here.	Kept none

Original Annex Box # 213

Generally looks like Ford working files, mostly multiple copies of draft language and bill prints. Some matter related to March mark-up sessions. Keep briefing notes, but little else is clean.

[Prints]	1980	1980	S. 1076 bill print, HR 3904 bill print, photocopy of S. 1076 summary & analysis (all as of April 1980)	Ref# 36. Kept S.1076 from box 212. Kept HR 3904 from box 209.
S.1076 Committee Report	1980	1980	April 1980 various drafts of summary & considerations. Copies of briefing notes from Ford to HAW from June re: compromises for S. 1076 to advance bill.	Ref# 31. Made copy of briefing notes to HAW.
Mark-up on S. 1076 (2nd), 3/24/80	1980	1980	Materials from second day of session (March 24). Original correspondence from PBGC to HAW responding to his request for HR 3904 cost estimates. Otherwise, multiple photocopies of various amendments, bill language, remarks, etc.	Ref# 32. Kept copy of PBGC correspondence to HAW, remarks, and other material.
S. 1076 Committee Print	1980	1980	Multiple photocopies of amendments, bill language, etc. from March mark-up meetings. Copies of briefing notes from Sacher to HAW for mark-up and copies of PBGC correspondence.	Ref# 33. Made copies of Sacher/HAW notes and some other material.

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Staff contacts on HR 3904	ud	ud	Original handwritten list of some senators and associated staff (looks like Ford's writing), with some notes as to which senators were for/against.	Kept none
[Prints]	1980	1980	Photocopies of draft S. 1076 bill prints from March.	Kept none

Original Annex Box # 214

Committee counsel folders, generally Sacher. Mostly reference material, but also correspondence on specific ERISA issues or items left outside ERISA.

Reorganization plan #4	1980	1980	Copy of January OMB report to Congress on ERISA administration, original of Sacher's note to HAW commenting on report, photocopy of HAW note to OMB, original note from OMB to HAW responding.	Ref# 71. Made copies of HAW correspondence
Reciprocity	1978	1978	Carbon to Sacher of note between 3 rd parties on ERISA aspect	Kept none
Quarterly Report, 8/80	1980	1980	Copy of US Railroad Retirement Board publication	Kept none
Public Plans-GAO Study	1976	1978	Copy of 1976 request from HAW/Javits to GAO to conduct a study of public plans, with photocopy of resulting GAO report, and original/copies of staff note to HAW urging study. Also original handwritten staff notes on the matter and drafts of a HAW/Javits summary to the Senate. Some notes on a follow-up 1976 request. 1978 material is generally reference materials (booklets, bill prints, clippings) of public plans.	Kept none
Public Pension Plans	1977	1979	Sacher folder. 1977 clippings, DoL published bibliography on plans, GAO Aug 1977 report (not HAW request-related), HAW press release (generally reference material). Two 1979 consultant reports on public plans. 1978 research from Kershaw to Sacher/Turza done at their request re: Fed Govt retirement system, with annotations; original note from Sacher to HAW with update on public sector pension legislation.	Ref# 78. Made copy of Sacher note to HAW and press release.
Prudent Man Rule	1977	1979	Sacher folder. Original correspondence to Sacher from third parties. Mostly clippings, articles, and other ref material on rule.	Kept none
PERISA	1980	1980	Copies of witness list and statements for Sept 30 & Oct 1 1980 hearings in the HR re: PERISA (HR 6525).	Kept none
Price-Waterhouse	1979	1979	Outline of a P-W presentation on development of an ERISA reporting structure with original attached brief handwritten notes presumably from staff meeting with them.	Kept none
Private Pension Plan Investment Statistics	1972	1979	Original info from CRS to Sacher re: corporate stock owned by pensions, using attached public sources (1979); 1972 Cornell Law Review article reprint)	Kept none
PROD	1978	1978	Copy of an article re: Teamster pension corruption	Kept none
[Label lost but likely "Prohibited Transactions"]	1977	1980	Sacher folder. Original correspondence to Sacher and to HAW from law firms, industry, Sen. Wallop re: subject regulations. Fed Register regulations.	Kept none
Pre-ERISA Plan Documents	1960	1972	Photocopies of Greater NY Box Company retirement plan, 1960 and 1972 versions. Appears to be intended as example of type of restatement that could occur pre-ERISA.	Kept none
Prudential Insurance Co	1979	1979	Sacher folder. Copies of material related to HAW/Sacher meeting with Pru on Pru ERISA concerns, including briefing note from Sacher to HAW on Pru/insurance industry issues.	Ref# 77. Kept or made copies
Pre-paid Legal Service Plans	1977	1978	Reference material on subject, including original transmittal from a legal plan sponsor to Sacher with detailed case information	Kept none

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President's Commission on Pension Policy	1977	1980	Original note from Sacher to HAW, with subsequent Sacher annotations re: individuals looking for jobs on Presidential Commission; original correspondence/material to Sacher from Commission; briefing note to HAW from Sacher on HAW/Sacher meeting with Chair of Commission; staff note to Sacher with assessment of Commission matters; clippings and other reference material on commission.	Ref# 65. Made copy of HAW/Sacher note and attachment
[Correspondence]	1979	1980	Blue carbons of HAW correspondence re: S. 209, S. 1076, and other ERISA-related bills and issues. Covers Sept-Dec 1979, with a handful of 1980. Carbons generally attached to original correspondence received from individuals, companies, trade associations, laws firms. Many churches/ministers re: church provisions. Some correspondence to/from HAW and other senators. Handful are Sacher correspondence.	Kept none

Original Annex Box # 215

Committee counsel folders, including Sacher and Ford. Much reference material and bill prints.

[Label lost but likely "Oversight Hearings"]	1977	1977	Sacher folder. Notes, etc. related to what appears to have been a panel presentation by Sacher to Connecticut General Insurance Co; original note to Sacher from Conn Genl Clippings.	Kept none
Paperwork	1980	1980	Photocopies of reference material on pension reporting.	Kept none
PL 96-24, 6/19/79, 10 mo delay bill, Multiemployer Plan Term Ins.	1979	1979	Original of note from Sacher to staff re: passage of HR 3915 (10 month ERISA title IV delay in multiemployer term insurance) with orderly package of related materials (clippings, Congress Record, bills, briefing notes to HAW, etc.)	Ref# 63. Made copies of items not previously found in box 208.
S.1076	1979	1980	Ford folder. This is a particularly rich folder re: S. 1076. Copies or originals of intra-staff notes, briefing notes to HAW, industry correspondence/position papers most to Ford/Sacher but some to HAW, copies of invitations to contacts for hearings, original acceptances, clippings/articles, bill prints.	Ref# 34. Made copies of HAW briefing notes and staff notes.
S.1057	1979	1979	S.1057 is the HAW version of HR 3915, the 10 month delay bill. Bill prints, copies of articles, Congress Record	Ref# 63. Kept items that had duplicates in the PL 96-24 folder above.
[Label lost]	1980	1980	Copy of HR 7140 summary re: delay of PBGC guarantees on multiemployees prepared by Committee on Taxation	Kept none
S.209	1977	1980	Thick and somewhat rich folder of S. 209 material. Original correspondence to Sacher, copies of briefing/status notes to HAW, drafts and copies of analyses, industry position statements, drafts of HAW introductory remarks on S.209 and to Actuarial Assn., bill drafts.	Ref# 20. Made copies or kept duplicates of HAW briefing notes and statements. Kept S.209 bill print (same as box 201).
PL 95-214...	1977	1977	Appears to be core folder of materials related to passage of HR 9378, which delays PBGC matter 2 years (deferring issue to 1979); companion bill to HAW's S. 2125. Drafts of S.2125 and related committee Report with original counsel annotations/edits, ref material, Congress Record, original correspondence from PBGC to HAW.	Kept none

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Reporting & Disclosure	1977	1979	Original correspondence to Sacher (or Paradise) of materials re: ERISA disclosure requirements. Bulk is a photocopy of a DoL hearing on subject and FASB drafts sent to Sacher. Other reference material.	Ref# 72. Kept duplicate copy of FASB report.
Resumes	1977	1980	Sacher folder. Original and copies of resumes.	Kept none
Retirees & Collective Bargaining	1980	1980	Clipping	Kept none
Revenue Act of 1978	1977	1978	Sacher folder. Position statements re: Treasury tax reform proposals.	Kept none
Revenue Loss	ud	ud	Original handwritten notes, appears to be Sacher notes of discussion with Treasury	Kept none
“Revolving Door” Priorities	1977	1977	Bill print of S.555 (Public Officials Integrity Act) and Report from Committee on Government Affairs (not HAW bill)	Kept none
[Print]	1979	1979	Committee print of Rules of Procedure for HAW’s committee, with minor annotation (August 1979)	Kept none
Jeremy Rifkin	1978	1978	Photocopy of article by Rifkin	Kept none
Robert Moore (Howard Jacks) Promotion Associates Profit Sharing Plan	1979	1979	Photocopy of Jacks’ correspondence with Sacher re: court case.	Kept none
Abe Rosenthal	ud	ud	Original handwritten notes with reference material	Kept none
S.4	1973	1973	Bill prints of early ERISA (introduced version and version reported out of subcommittee to full committee)	Kept none
S.393	1979	1979	Bill print (not HAW)	Kept none
S.476	1979	1979	Bill print (not HAW)	Kept none
S. 497	1979	1979	Bill print (HAW co-sponsor) Emergency Medical Services System Amends	Kept none
S.532	1979	1979	Bill print (not HAW), continued operation of Presidential Commission on Pension Policy. Photocopy of HAW statement for Committee on Government Affairs hearing.	Ref# 66. Made copy of HAW material.
S.901	1977	1977	Photocopies of materials on S.901 and S.285 (Bentsen proposals for Finance).	Kept none
S.1076	1980	1980	Ford folder. Handful of original correspondence to Ford from law firms, briefing mote to HAW from Ford re: Ingersoll-Rand, edited draft of HAW/Javits remarks to Senate	Ref# 35. Made copy of Ford/HAW note
S.91	1979	1979	Bill print (not HAW)	Kept none

Original Annex Box # 216

Committee counsel folders, mostly Sacher.

Joint Board for the Enrollment of Actuaries	1975	1979	Copies of Board press releases (part of Treasury), Fed Register regulations	Kept none
Joint & Survivor Annuities	1978	1978	Original correspondence forwarded from Sen. Burdick to HAW to Sacher, reference material on subject	Kept none
Eamon Kelly	1978	1978	Resume. Draft note from HAW to Pres. Carter recommending Feder for Commission on Pensions	Kept none

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[Label lost]	1971	1978	Carbon of Sacher note to Conn General Insurance Co re: Volvo pension plan, with copies of related correspondence and 1971-74 union agreement.	Kept none
Harry Lamon	1979	1979	Original correspondence to Sacher.	Kept none
Gary Lawson	ud	ud	Handwritten notes re: appointment	Kept none
Ian Lanoff	1978	1978	Photocopies of Lanoff speeches	Kept none
Investments in Options	1980	1980	Reference material on subject; Sacher notation to Ford/Turza	Kept none
Charles Jackson, Pres, Avco Corp	1978	1978	Original correspondence to Sacher	Kept none
Robert Jaffe	1978	1978	Original correspondence and transmittals of Conn General court case materials to Sacher.	Kept none
Wayne Jett	1977	1977	Original correspondence from attorney Jett to Sacher and Forsey re: aspects of ERISA, with various ref materials associated with Jett (articles, positions statement, court filing)	Kept none
Fiduciary Liability Insurance	1978	1980	Original copy to Sacher of correspondence from Teamsters counsel to DoL re: subject. Original response and report from DoL to HAW re: fiduciary insurance, done at HAW/committee request.	Kept none
Fiduciary Responsibility	1979	1980	Reference material to Sacher on subject	Kept none
Fiduciary—Venture Capital investments	1977	1977	Photocopies of documents reflecting concern of Gaylord Nelson about small business/venture investments; original handwritten notes from venture capital meeting (appears to be Sacher’s hand)	Kept none
[Label lost but likely “FASB Standards”]	1980	1980	Clipping, carbon to Ford of note to Sacher from outside party.	Kept none
[Label lost but likely “Financial Institutions”]	1977	1977	Original transmittal to Sacher from NYU professor of draft book chapter for comment	Kept none
FY 1979 Budget	1979	1979	Photocopy of intra-staff note re: budget status	Kept none
FY 1980 Budget	1979	1979	Photocopies of Letitia Chambers updates to staff of budget status in Appropriations Committee and of HAW notes to Chair Magnusson re: spending rees.	Kept none
ESOPs	1978	1980	Original Dear Colleague from Russell Long to HAW for support of S. 2982 re: ESOPs; carbon to Paradise of material from Conrail re: ESOP; copies of other Sen. Long corr on ESOPs; clippings and other reference material	Kept none
Ethics	1980	1980	Photocopy of article	Kept none
Gerry Feder	1978	1978	Original material from Feder (outside attorney) to Paradise seeking help in PBGC issue; original handwritten notes of meeting with Feder and PBGC; copies of other Feder notes.	Kept none
ERISA Improvements Act of 1979 [S.3017]	1979	1979	Sacher folder. Original correspondence from law firms, etc. mostly to Sacher (some to HAW) re: aspects of ERISA. Position statements, reference material, original handwritten notes from meetings. Briefing notes from Sacher to HAW.	Ref# 21. Made copy of briefing notes.
ERISA Oversight Hearings-10/11-18/77. Miscellaneous Infor.	1977	1978	Material related to hearings	Ref# 54. Made copy of briefing notes
[Unlabeled]	1978	1978	Notes on tentative field hearings re: pension plans	Ref# 55. Made copy of tentative field hearing schedule
EIA Amendments	1977	1978	Staff notes, etc.	Ref# 12. Made copies of staff memos.