

## Banking, Housing & Urban Affairs Committee: Reference Notes

### Original Annex Box # 809

Bulk are counsel Steve Paradise folders related to S.510, a bill related to increasing disclosure on equity tender offers, and signed into law by President Johnson. Remainder of box relates generally to HAW's subcommittee hearings on interstate land sales, an issue he was involved with over years. Appears to be significant intermingling of staff resources here involving Woodruff (Woody) Price of HAW's office and Bill Oriol (former HAW staffer, but at this time Professional Staff Member of the Select Subcommittee on Aging). Most of this is administrative re: setting up testimony for hearings. Oriol involved because he previously worked the issue while on HAW's staff.

1966 Correspondence	1966	1966	Oriol folder. Correspondence related to land sales legislation hearings by HAW's Securities Subcommittee. Green carbons, mostly over HAW's name. Most correspondence authored by William (Bill) Oriol, Professional Staff Member on the Aging Select Subcommittee. Some direct Oriol correspondence. Correspondence involved committee matters, including arranging and acknowledging testimony. Some HAW staff (Woody Price) as well, but relatively little. Appears to be Steve Paradise folder. Prepared statements from trade associations, cabinet officials, etc. appearing before the Securities Subcommittee re: S.1985 (National Flood Insurance Act).	Kept none
Flood Insurance Statements	1967	1967	Paradise folder. Contains carbons and robos he authored for Chair John Sparkman, as well as for HAW. Includes White House and industry correspondence to Sparkman, as well as correspondence to HAW.	Kept none
[In?] Committee Actions Correspondence	1968	1968	Paradise folder. Investment Bankers Association note to Paradise. Copies of notes sent to HAW by IBA, copied to Paradise. Drafts of HAW speech with Paradise's revisions.	Kept none
I.B.A. Speech	1967	1967	Paradise folder. Industry positions and HAW carbons authored by Paradise. Carbons of S.510 (regulation of tender offers) provision summaries. Technical paper on tenders sent to Paradise by a private law firm.	Ref# 2. Kept any duplicates.
S.510 Info-Memos	1967	1967	Paradise folder. Carbons of HAW correspondence authored by Paradise. Correspondence to Paradise from industry. Carbon of Sparkman correspondence.	Kept none
Correspondence-General	1967	1967	Paradise folder re: S.2731 (HAW bill) of 1965 re: equity ownership disclosure. Bill wording with annotations, comments from SEC and other parties, and Paradise handwritten notes. Background information including clippings. HAW press release with copy of what appears to be Paradise's revisions.	Ref# 3. Kept any duplicates.
SP Leg – Corporate Raiders	1965	1967	Paradise folder. Original comments from Manuel Cohen, Chair of SEC, on S.510 takeover bill.	Kept none
Cohen	1967	1967	Paradise folder. American Bar Association comments to Sparkman with copy to HAW re: S.510. Appears to be HAW's copy because Sparkman's is in a folder below.	Ref# 2. Kept any duplicates
A.B.A. Memo; S.510	1967	1967	Paradise folder. Clippings related to S.510.	Kept none
S.510 Clips	1967	1968	Folder from HAW office. HAW greens authored by his staff (Gladys Kessler and Woody Price), with attached correspondence. Copies of background info.	Kept none
Economy: Corporate Raiders Bill S.2731	1965	1966	Paradise folder. Copies of HAW statement re: S.510.	Ref# 3. Entire folder retained and not sent to Senate.
Williams – 4-4	1967	1967		Ref# 2. Kept any duplicates

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<b>NYSE Memo</b>	1967	1967	Paradise folder. NY Stock Exchange comments on S.510 and Paradise's analysis of their comments for HAW.	Ref# 2. Kept any duplicates
S.510 Hearing Correspondence	1966	1967	Paradise folder. White carbons authored by Paradise for HAW inviting testimony at hearings. Original correspondence accepting or declining.	Kept none
Correspondence: S.510	1967	1968	Paradise folder on S.510. Carbons of HAW (mostly) and Sparkman correspondence authored by SP, with some attached correspondence. American Bar Association comments to Sparkman.	Kept none
Correspondence: S.510	1967	1967	Paradise folder on S.510. Carbons of HAW correspondence authored by SP, with some attached correspondence.	Kept none
<b>S.510: Section by Section Exp.</b>	ud	ud	Paradise folder. Copies of two section by section analyses.	<b>Ref# 2. Kept duplicates.</b>
Correspondence-Hearings 1966	1966	1966	Relates to S.2672 (interstate land sales bill). HAW greens authored mostly by Bill Oriol (Subcommittee on Aging), some authored by Woody Price of HAW's staff. Inviting/getting testimony/comments. Some original responses.	Kept none
[No label]	1967	1968	Clippings re: land sales. Carbon of notes on S.275 (90 <sup>th</sup> Congress bill, was S.2672 in 89 <sup>th</sup> ).	Kept none
A.S.M.F. Pilot Program	1968	1968	Paradise folder. Handful of notes to SP re: a stock exchange industry plan to train Newark residents to work on Wall Street.	Kept none

## Original Annex Box # 810

Steve Paradise folders, mostly on land sales legislation.

SP Leg SEC	1963	1966	Paradise folder. Background copies of statements and other documents on various matters related to committee.	Kept none
Clips	1966	1968	Mostly newspaper clips. A couple of carbons (HAW's blues and the author's whites) related to HAW inquiries of Ramsay Clark and the SEC re: organized crime ties to securities trading, with responses.	Kept none
<b>1-24 Executive Securities Subcommittee</b>	1967	1967	Possibly folder of Paul Penick, Assistant Counsel. Carbons of transmittals to Securities Subcommittee members of HAW's proposed legislative program for 90 <sup>th</sup> .	<b>Ref# 9. Kept duplicate carbons and made copy of the program.</b>
Hearing Invitations- RR Mergers	1968	1968	Paradise folder. Carbons of correspondence authored by Paradise for HAW and Sparkman, mostly seeking information/witnesses. Paradise's work on a Mondale release. Notes on hearing witnesses. Clippings. Prepared remarks of a hearing witness.	Kept none
<b>HUD Bill</b>	ud	ud	Likely Paradise folder. Copies of two documents analyzing S.275 re: land sales.	<b>Ref# 1. Kept any duplicates</b>
Clippings	1967	1967	Paradise folder. Clippings re: land sales.	Kept none
<b>Explanation [?]</b>	ud	ud	Likely Paradise folder. Copies of a 1-page explanation of land sales act (no bill #).	<b>Ref# 1. Kept duplicate</b>
<b>S.275 Sec by Sec Explanation</b>	1966	1966	Likely Paradise folder. Copies of a 5-page explanation of land sales act.	<b>Ref# 1. Kept duplicate</b>
Cong Record Inserts			Congress Record items, with some carbons of the HAW statements	Kept none
<b>S.275 Correspondence-Hearings</b>	1967	1967	Paradise folder. Carbons of invitations for comments and testimony re: S.275 (land sales). Some correspondence to Paradise.	<b>Ref# 1. Kept copy of standard invitation note.</b>

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Uniform Land Sales Bill	1967	1967	Correspondence to HAW with background information on land sales. Copy of SEC analysis done at Senator Bennett's request.	Kept none
Proposed Amendments	1967	1967	Paradise folder. Carbons of HAW responses to industry and SEC letters re: S.275 (land sales). One letter to Senator Magnuson from constituent.	Kept none
Hearing Inserts	1967	1968	Paradise folder re: S.275. Carbons of HAW responses to industry. Also, correspondence to Paradise from other Senators and their staff.	Kept none
S.275 Correspondence-General	1967	1967	Paradise folders. Mostly carbons of HAW responses. Various correspondents including industry as well as individuals claiming fraud. Some correspondence directly to Paradise. Correspondence to other Senators to/from Paradise.	Kept none
SP Leg – Interstate Land Sales S.2672	1963	1967	Mostly background information on land sales (clippings, law books, state practices). Some carbons of inter-staff correspondence. Some correspondence from interested parties	Ref# 5. Kept press release. Made copies of Oriol note re: hearings.
S.911 – Bennett Amendment	1967	1967	Congress Record pages of Senator Bennett remarks and carbons of HAW floor remarks re: land sales act.	Ref# 5. Kept duplicate carbon of HAW remarks.
Amer. Consumer Message Suggested Land Sales Statements	1967	1968	Carbons of material seen in other folders. Senate print of President Johnson message on consumer protection. Dingell and Fulbright correspondence to Chairs Sparkman & Patman.	Ref# 5. Kept duplicate LBJ message. Made copies of land disclosure act-related carbons.
Photographs	Ud	ud	Circa 1965. 5 b&w photos of swamps, desert, etc involved in land sale schemes. Some captioned.	Kept none
7.4.3 – Arizona – Dr. Inches, Nogales, Ariz file	1965	1965	Probably Oriol folder. Pink carbons of correspondence over Oriol's name as Staff Director of Frauds Subcommittee, relating to Arizona land frauds, mostly by a Dr. Inches. Original complaint correspondence and background.	Kept none.

### Original Annex Boxes # 1093 and 1094

Steve Paradise folders. Original incoming correspondence to Williams re: various banking committee topics, with white carbon of outgoing response authored by Paradise attached. None kept.

### Original Annex Box # 1098

Steve Paradise folders.

Flood Insurance	1969	1969	Paradise folder. Mostly clippings. Correspondence to Paradise from insurance association. Carbon of note from Paradise to HAW.	Kept none
Mass Transportation	1969	1970	Paradise folder. Original correspondence from HUD to Sparkman re: analyses of HAW's bills S.676 and S.1032. Unattributed statement re: mass transit. Copy of CRS analysis on mass transport. Carbon of floor remarks by HAW. Congress Record pages. Copies of non-HAW correspondence. Packet of land sales-related material.	Ref# 6. Kept copy of HAW remarks and the unattributed mass transit statement.
Budge [SEC Chair] Conflict of Interest	1969	1969	Paradise folder. Draft of Paradise note to HAW re: Budge's conflicts and lines of questioning.	Kept none

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[No label]	1969	1969	Paradise folder. Various matters. Carbons of statements and remarks by HAW. Carbons of HAW responses to correspondence, with original correspondence.	Ref# 7. Made copy of Paradise note to HAW re: pending legislation.
Mutual Fund Correspondence	1969	1969	Paradise folder. Carbons of Paradise-authored notes for HAW (mostly) and Sparkman. Some correspondence direct to/from Paradise.	Kept none
M.F. Robos	1968	1969	Paradise folder. Sparkman robo letter. Mutual fund distributor position statement.	Kept none
Correspondence Investor Complaints	1969	1970	Paradise folder. Carbons of letters with attached original correspondence. Many individual investor complaints, but also securities industry paperwork complaints and other related matters.	Kept none
One Bank Holding Co [Act]	1969	1969	Paradise folder. Relates to a Proxmire bill. Draft releases by Proxmire. Consideration of Bennett amendments. Correspondence from industry to Paradise. Congress Record pages. Carbons of HAW responses.	Kept none
S.1032 Clips	1969	1969	Paradise folder. Carbons of HAW remarks and statements re: mass transportation trust fund and hearings. HAW press releases. Congress Record pages. Clippings. Industry newsletters.	Ref# 6. Kept any duplicates.
S.1032 Organization	1969	1969	Paradise folder. Carbons of responses to industry representatives re: mass transportation trust fund.	Kept none
Land Sales	1969	1969	Paradise folder. Two Federal Registers. Carbons of letters to industry representatives re: land sales. Background information.	Kept none
C-5A [Lockheed contract]	1969	1969	Proxmire press releases and minor correspondence between HAW and Budge/SEC.	Kept none
Lumber Prices	1969	1969	Paradise folder. Clippings. Carbons letters to industry representatives re: lumber.	Kept none

### Original Annex Box # 1099

Steve Paradise folders.

S.3154 Bills & Comparison	1970	1970	Paradise folder (folder name incorrect). Carbons of letters from late June & July with original correspondence. Various committee topics.	Kept none
[No label]	1970	1970	Paradise folder. Carbons of letters from August with original correspondence. Various committee topics. Carbon of a HAW robo form letter from Sept 1970 re: HR 6778 (bank holding company act amendments).	Kept none
Insider Trading Correspondence	1968	1970	Paradise folder. Correspondence on insider trading hearings, but other committee matters also. Carbons of letters. Some notes from SEC Commissioner in response to HAW inquiries.	Ref# 8. Kept copy of the SEC notes.
S336, S3431 Witnesses 3/25	1969	1970	Paradise folder. Mostly carbons of letters arranging testimony for hearings on corporate takeover bills. Correspondence to commentators on these matters. Carbon of HAW statement with Paradise annotations, and resulting Congress Record page. Copies of S.3431 section by section analysis.	Ref# 8. Made copy of carbon of statement with Paradise notes. Kept copy of analysis.
Fed B-D Insurance Corp [S.2348]	1970	1970	Paradise folder. Mostly carbons of letters re: proposed hearings on a Muskie bill. Some Sparkman and Muskie correspondence.	Kept none
FBDIC	1970	1970	Paradise folder. Correspondence re: proposed broker-dealer insurance program (SIPC). Analysis, SEC comments, other materials.	Kept none

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7-18	1968	1968	Paradise folder. Mostly carbon copies of letters from April to Nov, often with original letters. A considerable number are Sparkman correspondence, not HAW. Some correspondence direct to Paradise. Various committee topics.	Kept none
Arab Boycott I	1969	1969	Paradise folder. Materials related to a hearing on this subject, including Dept of Commerce statement, HAW remarks, prepared questions, and some correspondence.	Ref# 4. Kept any duplicates.
East-West Trade – 50% Bottoms	1969	1969	Paradise folder. Carbon copies of Paradise note and questions for HAW. Seafarer Union’s supplementary statement.	Ref# 4. Kept copy of Paradise note.
Mass transit – Correspondence	1969	1969	Paradise folder. Carbon copies of letters. Some correspondence directed to Paradise. Carbon of one note from Paradise to HAW and a HAW statement.	Ref# 6. Kept copy of the Paradise note to HAW.

**Original Annex Box # 1186**

All white carbon copies of HAW responses authored by Steve Paradise, with constituent, department/agency, industry representatives, other interested parties, etc. original correspondence attached in most cases. Folders do not appear to be accurate or reflective of original labeling in all cases. Mostly issue-related, but also general requests and case-type items. Handful of correspondence directed to Paradise.

Unfolded	1972	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Wage Price Guidelines	1972	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses. Not all on folder subject.	Kept none
Steve Paradise	1972	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Steve Paradise 5308	1972	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Steve	1972	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses. A couple of minor notes from industry representatives to Paradise.	Kept none
[B?] Clips & Statements	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Nixon Bill	1971	1972	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Constituent Mail	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses. One large item involving a small business loan.	Kept none

**Original Annex Box # 1187**

Same as box 1186.

Statements	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
S336, 3-24, S3431 Witnesses	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Opening statement	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Securities	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Credit Bureaus	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
No label	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none
Oct-Nov	1971	1971	Original correspondence to HAW on matters under committee jurisdiction; white carbon copies of responses.	Kept none